

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		10551578
Filing Date		2005-10-03
First Named Inventor	Sengupta et al.	
Art Unit	NA	
Examiner Name	Not Assigned	
Attorney Docket Number	109-05	

U.S. PATENTS[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5320756		1994-06-14	Winston	
	2	5254257		1993-10-19	Brigano et al.	
	3	5248424		1993-09-28	Cote et al.	
	4	5236595		1993-08-17	Wang et al.	
	5	5215632		1993-06-01	Fritts et al.	
	6	5182023		1993-01-26	O'Connor et al.	
	7	5152896		1992-10-06	Mazet et al.	
	8	5149437		1992-09-22	Wilkinson et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10551578
Filing Date	2005-10-03
First Named Inventor	Sengupta et al.
Art Unit	NA
Examiner Name	Not Assigned
Attorney Docket Number	109-05

9	5143583		1992-09-01	Marchessault et al.	
10	5182023		1993-01-26	O'Connor et al.	
11	5128041		1992-07-07	Degen et al.	
12	5120688		1992-06-09	Hsieh	
13	5043072		1991-08-27	Hitotsuyanagi et al.	
14	4956061		1990-09-11	Dempsey et al.	
15	4952386		1990-08-28	Davison et al.	
16	4935450		1990-06-19	Cone, Jr.	
17	4839057		1989-06-13	White	
18	4828715		1989-05-09	Sander	
19	4808316		1989-02-28	Otomura et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10551578
Filing Date		2005-10-03
First Named Inventor	Sengupta et al.	
Art Unit	NA	
Examiner Name	Not Assigned	
Attorney Docket Number	109-05	

20	4806244		1989-02-21	Guilhem	
21	4804465		1989-02-14	Brown	
22	4737921		1988-04-12	Goldwasser et al.	
23	4734200		1988-03-29	Berry	
24	4724082		1988-02-09	Boom	
25	4702840		1987-10-27	Degen et al.	
26	4693832		1987-09-15	Hurst	
27	4673504		1987-06-16	Ostreicher et al.	
28	4670154		1987-06-02	Carlson et al.	
29	4648976		1987-03-10	Chen	
30	4632745		1986-12-30	Guilfrida et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10551578
Filing Date		2005-10-03
First Named Inventor	Sengupta et al.	
Art Unit	NA	
Examiner Name	Not Assigned	
Attorney Docket Number	109-05	

31	4537683		1985-08-27	Isacoff et al.	
32	4473474		1984-09-25	Ostreicher et al.	
33	4447475		1984-05-08	Lubbock et al.	
34	4329225		1982-05-11	Davis et al.	
35	4314905		1982-02-09	Etzel et al.	
36	4312956		1982-01-26	Chong et al.	
37	4303531		1981-12-01	Kawabata et al.	
38	4289617		1981-09-15	Davis	
39	4279756		1981-07-21	Weiss et al.	
40	4269760		1981-05-26	Wakimoto et al.	
41	4207397		1980-06-10	Davis et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10551578
Filing Date	2005-10-03
First Named Inventor	Sengupta et al.
Art Unit	NA
Examiner Name	Not Assigned
Attorney Docket Number	109-05

42	4154675		1979-05-15	Jowett et al.	
43	4123396		1978-10-31	Remnaum et al.	
44	4049546		1977-09-20	Rock	
45	4028237		1977-06-07	Nishimura et al.	
46	3996131		1976-12-07	Conn	
47	3808305		1974-04-30	Gregor	
48	3560378		1971-02-02	Weiss et al.	
49	2697724		1954-12-21	Collier	
50	2642514		1953-06-16	Herkenhoff	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10551578
Filing Date	2005-10-03
First Named Inventor	Sengupta et al.
Art Unit	NA
Examiner Name	Not Assigned
Attorney Docket Number	109-05

1						
---	--	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button. [Add](#)

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10551578
Filing Date	2005-10-03
First Named Inventor	Sengupta et al.
Art Unit	NA
Examiner Name	Not Assigned
Attorney Docket Number	109-05

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/ellenwinner/	Date (YYYY-MM-DD)	2006-08-16
Name/Print	Ellen P. Winner	Registration Number	28547

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.